

<b>Meeting date</b>	5 February 2013		
<b>Report title</b>	<b>Board assurance framework (BAF)</b>		
<b>Confidentiality</b>	None		
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<b>Date responsible director approved report for circulation</b>			
<b>Presented by</b>	Dr Val Dias, Chair of Quality, Patient Safety & Risk Sub- Committee	<b>Organisation</b>	NHS West London CCG

**The Governing Board is asked to:**

- **Note** the contents of the report; and
- **Review** the Board Assurance Framework

**Summary of purpose and scope of report**

In the transfer of governance arrangements from PCTs to CCGs, BAFs are being developed to provide Boards with a means of assessing and reviewing CCGs' ability to deliver strategic objectives. Key points to note:

- CWHH CCGs share common, if not identical, BAF entries as some risks relate to shared services
- Risks from the Cluster BAF being shared in the Collaborative are numbered 1 to 9
- The majority of entries in the BAF, and the risk register, were reviewed in January 2013
- F&P has agreed to share ownership of the risk register with Quality, Patient Safety & Risk sub-committee
- CWHH have agreed that the leads for issues on the BAF should be collaborative level Directors
- The NPSA risk scoring mechanism is used across CWHH (see supporting documents)

**Links to CCG strategy and objectives**

- The risk register and BAF support the CCG's strategy and objectives, and monitor ability to deliver them

**Supporting documents**

- Board assurance framework (enclosed)
- A risk matrix for risk managers, website: [National Patient Safety Agency](#)

**Financial and resource implications**

- There are no resource implications directly linked to this report

**Stakeholder involvement**

- The BAF is being developed in conjunction with all CCGs in CWHH
- The Quality, Patient Safety & Risk and Finance & Performance Committees monitor the risk register that informs the BAF

**Equality impact analysis**

Not required for this report

**Risk**

Failure to have an effective BAF in place would result in the CCG being unable to monitor its performance

**Governance and reporting**

The Risk register, which directly informs the BAF, is monitored by the Quality, Patient Safety & Risk and Finance & Performance Committees. Both of these committees meet monthly, prior to the Governing Board meeting.

The risk register is updated monthly with the CCG management team.

**Next steps**

- Risk register and BAF updated and reviewed by QPSR and F&P on a monthly basis (ongoing)
- The BAFs for the CCGs in the CWHH collaborative will also be reviewed at Collaborative meetings (ongoing)
- Corporate Services will write 2013/14 strategic objectives for Governing Board approval (March 2013)