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Title of paper	Strategic Objectives and Board Assurance Framework
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Confidential	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/> Items are only confidential if it is in the public interest for them to be so

The Governing Body is asked to:

- Note area of focus three is being reviewed and will be provided in time for the next Governing Body meetings
- Endorse the approach to collating risks to achieving NW London and CCG specific objectives
- Note that each CCG is required to identify its corporate objectives and the with key risks to their achievement, drawing from its corporate risk register
- Note the on-going review of risk management processes across NW London and the plan to develop a unified NW London CCGs risk management strategy in light of the new ways of working, as well as set up a risk review group.

Summary of purpose and scope of report

The purpose of this paper is to provide the Governing Body with a progress update on the NW London Board Assurance Framework. A Board Assurance Framework is a tool used to identify, prioritise and manage strategic risks to an organisation. This paper clarifies the approach taken to ensure risks are captured locally.

This paper also informs the Governing Body of plans to review the current risk management strategy and the new risk management processes and guideline.

BAF: Board Assurance Framework – brings together all of the relevant information on risks to an NHS organisation’s strategic objectives.

Statement of internal controls: a description of the internal mechanisms in place to “control” and manage organisational risks.

Area of focus: an identified strategic objective – the BAF seeks to identify and address risks to the delivery of areas of focus.

Risk management strategy: a document that outlines the assessment process and

management of organisational risks.

What are the benefits of this project?

The Board Assurance Framework enables the Governing Body members to be provided with oversight and notification of any organisational risks as and when they develop. A well-maintained and thorough register of risks also enables the committee to forward plan its agendas with assurance that the correct organisational risks are dealt with and reported at a suitable time.

There is also a wider benefit to the organisation and patients in maintaining a comprehensive risk register, as this ensures organisational stability. Stability means that the NW London CCGs are able to more effectively deliver services and care to residents, and reduces the chances of negative impacts on services that could arise through an organisational inability to deal with emergent risks.

Patient, staff and stakeholder engagement

Individual delivery areas will conduct their own patient, staff and stakeholder engagement as relevant.

Quality & Safety

There are risks relating to the quality of services and impacts on patient outcomes. Specifically, entry 2, relating to urgent and emergency care and ensuring that patients receive timely care at the right place, will be reviewed at the Quality and Performance Committee that is being established across NW London.

Equality analysis

There are no equalities impacts from the Board Assurance Framework itself, however there will be equalities impacts arising from non-delivery if risks are improperly dealt with and escalated.

Finance and resources

None directly, however each individual delivery area will have specific resource implications if risks are unaddressed, particularly in the context of having to achieve a control total across the sector (NW London).

Risk	Mitigating actions
<p>This document sets out the key risks to the delivery of our strategic objectives.</p> <p>More detailed risk analysis contained within the substantive report on pages 4-21.</p>	<p>Controls, assurances and action plans are in place to address these key risks. The Governing Body should scrutinise the entries and seek assurances that the risks are being managed appropriately.</p>

Supporting documents

- NW London Board Assurance Framework.

Governance, reporting and engagement

Provide a brief overview of where this paper – or work in developing it – has been discussed. Signpost to where in the paper more detail on this can be found.

Name	Date	Outcome and where in the report can you find out more
Shadow Joint Committee	5 July 2018	Agreed that the NW London BAF would be developed and form part of future agenda planning.
Shadow Joint Committee	6 Sept 2018	TBA

Conflict of interest

None arising from this report.